

1-2-04

RCE \$  
F 11/ Dec 2697 13/04  
Practitioner's Docket No. 55523 (70551)  
PATENT A.S.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: H. Takemori, et al.

Application No.: 09/756,493

Group No.: 2697

Filed: January 8, 2001

Examiner: Ortiz, Jorge L.

For: INTEGRATED UNIT AND OPTICAL PICKUP

RECEIVED

JAN 07 2004

Technology Center 2600

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence (and all papers referred to therein) is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service, Express Mail Mailing Label No. **EV343733783US** in an envelope addressed to: Box RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on **30 December 2003**.

By: Kathryn A. Grindrod  
Kathryn A. Grindrod

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CONTINUED EXAMINATION (RCE)**  
(37 C.F.R. 1.114)

1. Applicant hereby requests continued examination, in accordance with 37 C.F.R. Section 1.114, for the above identified application.

01/06/2004 MAHMED1 00000067 09756493

01 FC:1801

770.00 OP

**NOTE:** *There is no limit to the number of times the fee for continued examination may be submitted. Notice of March 10, 2000, 65 Fed Reg 14865, at 14868.*

**NOTE:** *Unlike a continuation application, a continued examination request can utilize the mailing procedure of 37 C.F.R. 1.8. See 37 C.F.R. Section 1.8(a)(2)(i)(A).*

### **TIME REQUEST IS BEING MADE**

2. This request is being submitted (*check appropriate item(s) below*):
  - i.  Prior to abandonment of the application
  - ii.  Payment of the issue fee
    - Prior to payment of issue fee
    - Issue fee has been paid but a petition under Section 1.313 has been filed herewith
  - iii.  Prior to a decision on appeal to the Board of Patent Appeals & Interferences
    - A notice is being separately sent to the Board of Patent Appeals & Interferences that this Request for Continued Examination is being filed.
  - iv.  Appeal to the U.S. Court of Appeals of the Federal Circuit under 35 U.S.C. 145 or  Commencement of a civil action under 35 U.S.C. 146
    - Prior to the filing of such appeal or commencement of civil action
    - Such appeal or commencement of civil action has been terminated

### **ENCLOSURES**

3. Enclosed herewith is/are:

**WARNING:** *If reply to a final or non-final Office action under 35 U.S.C. 132 is outstanding, the submission must meet the reply requirements of Section 1.111. 37 C.F.R. Section 1.114(b).*

- An information disclosure (37 C.F.R. Section 1.98)
  - Form PTO-1449 (PTO/SB/08A and 08B)
- An Amendment
- New arguments
- New evidence in support of patentability
- Other:

## FEE FOR REQUEST (37 C.F.R. Section 1.17(e)).

4. This application is on behalf of:

[ ] Small entity (and status is still as small entity) \$ 385.00

[ X ] Other than a small entity \$770.00

Continued Prosecution Request Fee \$ 770.00

## FEE FOR CLAIMS

**NOTE:** "The fee for continued examination under Section 1.114 (Section 1.17(e)) does not include additional claims fee (cf. 1.53 (d)(3)(ii))." See Notice of March 10, 2000, 65 Fed Reg 14865, at 14868.

37 C.F.R. 1.53(d)(3) : "The filing fee for a continued prosecution application filed under this paragraph is:

(i) The basic filing fee as set forth in Section 1.16; and

(ii) Any additional Section 1.16 fee due based on the number of claims remaining in the application after entry of any amendment accompanying the request for an application under this paragraph and entry of any amendments under Section 1.116 unentered in the prior application which applicant has requested to be entered in the continued prosecution application."

5. The fee for claims (37 C.F.R. Section 1.16(b)-(d)) has been calculated as shown below:

(Col.1)	(Col. 2)	(Col. 3)	SMALL ENTITY		OTHER THAN A SMALL ENTITY			
			Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra Rate	Addit. Fee	OR	Addit. Fee
Total	11	Minus	20	= 0	x \$9 =	\$	x \$18 =	\$ 0
Indep.	3	Minus	3	= 0	x \$42 =	\$	x \$86 =	\$ 0
[ ] First Presentation of Multiple Dependent Claim					+ \$140 =	\$	+ \$280 =	\$ 0
					Total Addit. Fee	\$ _____	OR	Total Addit. Fee \$ 0

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3,

\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest No. Previously Paid For" (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

**WARNING:** See 37 C.F.R. Section 1.116.

*(complete (c) or (d), as applicable)*

- (c) [ X ] No additional fee is required.

**OR**

- (d) [ ] Total additional fee required is \$ .

## **EXTENSION OF TIME**

*(If an extension of time is appropriate complete (a) or (b), as applicable)*

6. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

- (a)  Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. Section 1.17(a)(1)-(4), for the total number of months checked below:

<u>Extension for (months)</u>	<u>Fee for other than small entity</u>	<u>Fee for small entity</u>
[ ] one month	\$110.00	\$ 55.00
[X] two months	\$420.00	\$210.00
[ ] three months	\$930.00	\$465.00
[ ] four months	\$1,450.00	\$725.00
[ ] five months	\$1,970.00	\$985.00

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

- [ ] An extension for \_\_\_\_\_ months has already been secured, and the fee paid therefor of \$ \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ 420.00

OR

- (b) [ ] Applicant believes that no extension of time is required. However, this is a conditional petition and authorization to pay the necessary fees to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

## **TOTAL FEE(S) DUE**

**WARNING:** *The fee for continued examination under Section 1.114 may not be deferred. 37 C.F.R. Section 1.53(f).*

7. The total fee(s) due is/are:

Continued Prosecution Fee (Section 1.17(e))	\$ 770.00
Fee(s) for additional claims (if any) (Section 1.16(b)-(d))	\$ 0.00
Extension of time fee (if any) (Section 1.17(a)(1)-(4))	\$ 420.00
Total Fee(s) Due:	\$ 1190.00

## **PAYMENT OF FEE(S) DUE**

8. Please pay the fee(s) for this continued examination application as follows:

[X] Check is attached for the sum of	\$ 1190.00
[ ] Charge Account _____ the sum of	\$ _____
[ ] Charge Credit Card the sum of (Credit Card Payment Form (PTO-2038) attached.)	\$ _____

Please charge any required additional fee(s) for Section 1.17(e), Section 1.16(b)-(d) and/or Section 1.17(a)(1)-(4) to

[X] Account _____ 04-1105
[ ] Credit Card (Credit Card Payment Form (PTO-2038) attached.)

## **INVENTORSHIP**

**NOTE:** *Any change of inventors must be via the procedure set forth in 37 C.F.R. Section 1.48. See Notice of March 10, 2000, 65 Fed Reg 14865, at 14868.*

9. This application as amended names as inventors:

[X] the same inventors as previously designated for the claims.
[ ] fewer than the inventors previously designated and a statement accompanies this request for the deletion of the name or names of the person or persons who are not inventors of the invention now being claimed.

- a person not named previously as an inventor and a petition under 37 C.F.R. Section 1.48  
is/has separately:  
 being filed  
 been filed

David A. Tucker

**SIGNATURE OF PRACTITIONER**

Reg. No.: 27,840

David A. Tucker

(type or print name of practitioner)

Tel. No.: (617) 439-4444

Edwards & Angell, LLP

P.O. Box 9169, Boston, MA 02209

P.O. Address

Customer No.: 21874

BOS2\_428566v1